

PATENT PROSECUTION RECEIPT OF FILING

144325

Venable Filing Number

Atty. Docket No: 35646-175183

Title of Application: **BIDDER-SIDE AUCTION DYNAMIC PRICING AGENT, SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT**

Application No: 09/963,742

Patent No. :

Attorney/LAA: CJS:cja

PTO Due Date: January 18, 2008

Current Date: January 18, 2008

Filing Date: September 27, 2001

Issue Date:

The following items were received from Venable LLP, Washington, D.C., by the U.S. Patent & Trademark Office on the date stamped hereon:

U.S. PTO FEES ENCLOSED

- ☒ **Amendment Transmittal**
- ☒ **Fee Transmittal Form SB-17**
- ____ New U.S. Patent Application
- ____ (____ pages of specification/claims)
- ____ Rule 53(d) Continued Prosecution Application
- ____ Rule 53(b) Continuation or Divisional Application
- ____ (attach copy of specification, claims, drawings and declaration)
- ____ U.S. National Stage Application of PCT Application
- ____ Request for Continued Examination (RCE) under 37 CFR 1.114
- ____ Application Data Sheet
- ____ Substitute Specification
- ____ Priority Document-Cert. Copy of
- ____ Appln.#: _____; Country: _____; Date Filed: _____
- ____ Formal Drawings (____ sheets, Figs.)
- ____ Inventor Declaration
- ____ Assignment w/Cover Sheet
- ____ Response to Notice to File Missing Parts
- ____ Response to Notice to File Missing Requirements
- ____ Response to Requirement
- ____ Information Disclosure Statement with cited references
- ☒ **Response to Final Office Action**
- ☒ **Yellow filing receipt**
- ____ Petition/Request for Extension of Time (mo. ext.)
- ____ Power of Attorney
- ____ Appeal Brief (in triplicate) / Reply Brief (in triplicate)
- ____ Request for Oral Hearing
- ____ Confirmation of Hearing Petition
- ____ Issue Fee Transmittal
- ____ Certificate of Correction
- ____ Maintenance Fee Transmittal
- ____ Status Inquiry
- ____ Other: (Please describe below)

- ____ Filing Fee
- ____ Search Fee
- ____ Examination Fee
- ____ Additional Claim Fee
- ____ Extension Fee
- ____ IDS Fee
- ____ Recordation Fee
- ____ Notice of Appeal Fee
- ____ Brief on Appeal
- ____ Oral Hearing Request Fee
- ____ Petition Fee
- ____ Issue Fee
- ____ Other Fees (Describe)
- ____
- ____
- ____
- 0.00 **Total Fees Paid**

Charge the above fees as follows:

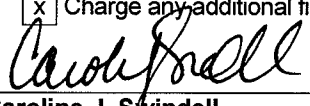
- ☐ USPTO Deposit Account No. 22-0261
- ☐ USPTO Deposit Account No. _____
- ☒ USPTO not to charge any Deposit Account

Reviewed By:

Signature of Attorney

Date

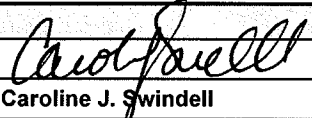
1/18/08

AMENDMENT TRANSMITTAL LETTER			Docket No. 35646-175183		
Application No. 09/963,742-Conf. #9308	Filing Date September 27, 2001	Examiner O. Akintola	Art Unit 3691		
Applicant(s): Rob R. Montgomery					
Invention: BIDDER-SIDE AUCTION DYNAMIC PRICING AGENT, SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT					
TO THE COMMISSIONER FOR PATENTS					
Transmitted herewith is an amendment in the above-identified application.					
The fee has been calculated and is transmitted as shown below.					
CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	13	- 20 =	0	x 25.00	0.00
Independent Claims	2	- 3 =	0	x 105.00	0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					0.00
<input type="checkbox"/> Large Entity <input checked="" type="checkbox"/> Small Entity					
<input checked="" type="checkbox"/> No additional fee is required for this amendment.					
<input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of \$ _____. A duplicate copy of this sheet is enclosed.					
<input type="checkbox"/> A check in the amount of \$ _____ to cover the filing fee is enclosed.					
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>22-0261</u> as described below. A duplicate copy of this sheet is enclosed.					
<input checked="" type="checkbox"/> Credit any overpayment.					
<input checked="" type="checkbox"/> Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.					
 Caroline J. Swindell Attorney/Agent Reg. No.: 56,784			Dated: <u>1/18/08</u>		
VENABLE LLP P.O. Box 34385 Washington, DC 20043-9998 (703) 760-1676					
925238					

<p><i>Effective on 12/08/2004.</i> <i>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</i></p> <h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">For FY 2008</h3>		<p>Complete if Known</p>	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	09/963,742-Conf. #9308
		Filing Date	September 27, 2001
		First Named Inventor	Rob R. Montgomery
		Examiner Name	O. Akintola
		Art Unit	3691
TOTAL AMOUNT OF PAYMENT		(\$)	0.00
		Attorney Docket No.	35646-175183

METHOD OF PAYMENT (check all that apply)	
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other (please identify): _____	<input checked="" type="checkbox"/> Deposit Account Deposit Account Number: <u>22-0261</u> Deposit Account Name: <u>Venable LLP</u>
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)	
<input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17	<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee <input checked="" type="checkbox"/> Credit any overpayments

FEE CALCULATION							
1. BASIC FILING, SEARCH, AND EXAMINATION FEES							
Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	310	155	510	255	210	105	
Design	210	105	100	50	130	65	
Plant	210	105	310	155	160	80	
Reissue	310	155	510	255	620	310	
Provisional	210	105	0	0	0	0	
2. EXCESS CLAIM FEES							
Fee Description	Fee (\$)	Small Entity Fee (\$)					
Each claim over 20 (including Reissues)	50	25					
Each independent claim over 3 (including Reissues)	210	105					
Multiple dependent claims	370	185					
Total Claims		Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims		
0 - 20 = 0		x 25.00	=	0.00	Fee (\$)		Fee Paid (\$)
HP = highest number of total claims paid for, if greater than 20.							
Indep. Claims		Extra Claims	Fee (\$)	Fee Paid (\$)			
0 - 3 = 0		x 105.00	=	0.00			
HP = highest number of independent claims paid for, if greater than 3.							
3. APPLICATION SIZE FEE							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)			
_____ - 100 = _____	/50 = _____	(round up to a whole number) x _____	=				
4. OTHER FEE(S)							
Non-English Specification, \$130 fee (no small entity discount)							
Other (e.g., late filing surcharge): _____							

SUBMITTED BY			
Signature		Registration No. (Attorney/Agent)	56,784
Name (Print/Type)	Caroline J. Swindell	Telephone	(703) 760-1676
		Date	1/18/08